



DYMOM ASIA CAPITAL INVESTMENT ADVISER (INDIA) PRIVATE LIMITED

[CIN: U66190MH2023PTC408656]

Registered Address.: HD-136, 1st Floor, Commerz II, CTS No 95 4 B 3 & 4 590, Oberoi Garden City, Off Western Express Highway, Goregaon East, Mumbai- 400063, Maharashtra, India

Operating Address: 814, The Capital Building, Bandra Kurla Complex, Bandra East Mumbai 400 051, Maharashtra, India

STANDARD DISCLOSURE DOCUMENT

As per Regulation 18 of SEBI (Investment Advisers) Regulations, 2013

DISCLAIMER

The purpose of this document is to provide basic and essential information about the Investment advisory services, to assist the prospective client in making an informed decision while engaging the Investment Advisor for availing its services.

The particulars given in this Disclosure Document have been prepared in accordance with the SEBI (Investment Advisers) Regulations, 2013, as amended from time to time. This Disclosure Document has neither been approved nor disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of the contents of this document. Clients are advised to read this document carefully and retain it for future reference.



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1. DETAILS OF THE INVESTMENT ADVISER

Name of Investment Adviser	Dymon Asia Capital Investment Adviser (India) Private Limited
Type of Registration	Body Corporate
SEBI Registration Number	INA000019488
SEBI Registration Date	August 09, 2024
Validity of Registration	Perpetual
BASL Membership ID	2200
Registered Office Address	HD- 136, Commerz II, 1 st Floor, CTS No. 95 4 B 3 & 4590, Oberoi Garden City, Off. Western Express Highway, Goregaon East, Mumbai, Maharashtra – 400063, India.
Correspondence Office Address	814, The Capital Building, Bandra Kurla Complex, Bandra East, Mumbai – 400051
Principal Place of Business	814, The Capital Building, Bandra Kurla Complex, Bandra East, Mumbai – 400051
Contact number	+91 98211 97376
Email ID	dymmumbai@dymonasia.com
Website	www.dymonasia.com

Name of Principal Officer	Mr. Dhawal Ramesh Doshi
Address	814, The Capital, B Wing, G Block, Bandra Kurla Complex, Bandra East, Mumbai, Maharashtra – 400051, India.
Email address	mumbai.advisory@dymonasia.com
Contact number	+91 98671 62127

Name of Compliance Officer	Mrs. Aafreen Kashyap
Address	814, The Capital, B Wing, G Block, Bandra Kurla Complex, Bandra East, Mumbai, Maharashtra – 400051, India.
Email address	dymmumbai@dymonasia.com
Contact number	+91 98211 97376

2. KEY PERSONNEL

The Investment Adviser has appointed qualified and certified personnel as required under Regulation 7 of the SEBI (Investment Advisers) Regulations, 2013, including a Principal Officer, Compliance Officer, and Grievance Redressal Officer. Details are made available to clients upon request.



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3. BACKGROUND & BUSINESS ACTIVITY

The Company is registered with SEBI as an Investment Adviser and provides investment advisory services in securities to eligible clients. The advisory services are provided strictly in a fiduciary capacity, based on client risk profiling, suitability assessment, and internal policies including the Conflict-of-Interest Policy and Code of Conduct.

4. TERMS & CONDITIONS OF ADVISORY SERVICES

Advisory services may or may not be limited to securities permitted under SEBI regulations. The Company does not provide execution, custody, or fund handling services. Services are governed by an Investment Advisory Agreement executed with the client.

5. DISCIPLINARY HISTORY

There are no disciplinary actions initiated or taken by SEBI or any other regulatory authority against the Investment Adviser as on the date of this Disclosure Document.

6. FEES & CHARGES

Fees charged for investment advisory services are in accordance with SEBI-prescribed limits and are disclosed upfront to clients.

7. RISK FACTORS

Registration granted by SEBI, membership of BASL and certification from NISM, in no way guarantee performance of the intermediary or provide any assurance of returns to investors.

Past performance is not indicative of future returns. The Investment Adviser does not guarantee any returns.

"Investment in securities market are subject to market risks. Read all the related documents carefully before investing."

8. CONFLICT OF INTERESTS

The Company has adopted a Conflict-of-Interest Policy aligned with SEBI requirements. Actual or potential conflicts are disclosed to clients and managed through internal controls.



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9. INVESTOR GRIEVANCE REDRESSAL

Clients may approach the Grievance Redressal Officer of the Company or lodge complaints through the SEBI SCORES platform (<https://scores.sebi.gov.in>).

10. DECLARATION

We hereby declare that the information provided in this Disclosure Document is true, complete, and correct and that the Company complies with the SEBI (Investment Advisers) Regulations, 2013.

**For Dymon Asia Capital Investment Adviser (India) Private Limited
Compliance Officer – Aafreen Kashyap**

Date: May 10, 2025.

Place: Mumbai